Investigations

Eyewitness accounts are among the least accurate forms of investigative information.

**Avoiding subjective attitudes and focusing on the use of a rational investigative hypothesis while carefully avoiding the interjection of prejudgment, BEST explains which of the following terms?**

**Objectivity**

**What is the primary purpose of a private sector security organization? To protect the interests of the**

**Employing enterprise**

**Which of the following are based on the investigative goals but are more specific, may be more short-lived, generally measurable and used to gauge the progress, success, or achievement of an investigative unit?  Objectives**

**What management level of investigations deals with the technical aspects of the investigative function and how the function works in its department, dealing with such issues as case load and assessment? Operational**

**Which statement is the most accurate in regard to zero-tolerance policies for ethical breaches?**

**Zero tolerance policies are a cornerstone of an effective corporate ethics program**

**Which statement is most accurate in regard to investigative needs of large companies? Most large**

**Companies have enough work to make a staff investigator cost-effective**

**What is the best method for estimating investigative budgeting needs? Basing budget estimates on**

**Historical data and estimates of risk avoidance**

**“Need to maintain equipment that may not be used for an extended period” and “the possibility of an investigation being tainted” represent which of the following? Disadvantages of internal invest teams**

**Where is an Investigations Unit best placed in an organization? Wherevers a needs assessment and**

**cost benefit analysis suggests**

**What are the “three I’s” of an investigator? Information, Interrogation, instrumentation**

**Which statement is most accurate with regard to legal matters in investigations? Many organizations**

**Never consider involving legal reps in case management**

**Professional associations, the local chamber of commerce, and corporate suppliers represent what types of resources? Informal and external**

**Which document is not necessary when establishing an Investigations Unit? Policy statement**

**Which is the most common initiation event in a private sector incident investigation?**

**Security Incident**

**What is the most common type of investigation in most businesses? Incident Reports**

**What is the role of coercive interview techniques in workplace misconduct investigations? Damage**

**credibility of the investigative unit**

**In this type of investigation, a worker is investigated for breaching employee guidelines, which are clearly defined in corporate policy and procedures. The information was clearly communicated to all employees. Which type of investigation is this? Conflict of Interest**

**Which is the most accurate statement about misconduct investigations that involve the subject’s previous places of employment? Investigators should seek info about a subject’s past employment to show patterns of behavior and create new leads**

**Which type of investigation is most likely to look into diversion, conversion, disguise, and divergence?**

**Resource abuse (theft, work vehicle, assets)**

**What is the best way to prevent exposure to workplace violence? Effective preemployment**

**screening**

**What is the biggest challenge in investigating compliance issues? Getting employees to report**

**Wrongdoing**

**Which is the most accurate statement regarding employee hotlines? Best results come from using**

**a consolidated hotline in which a caller won’t fear being identified**

**Investigations which often focus on conflict of interest, corporate resource abuse, employee theft, workplace violence, and substance abuse are types of \_\_\_\_\_\_\_\_\_\_\_\_\_ investigations.**

**Misconduct**

**“A measure of prudence or activity that is to be expected from a reasonable and prudent person under the particular circumstances; not measured by an absolute standard, but dependent upon circumstances of a particular case” BEST describes which of the following?  Due Diligence**

**In ABC surveillance \_\_\_\_\_\_. A follows the subject, B follows A and C follows the subject from**

**a different approach**

**In an investigative report, the name of the subject of the investigation and type of case, are considered which of the following? Administrative information**

**Which of the following is not a recognized type of investigative report? Emergency Report**

**Which statement is most accurate about summary sections of investigative reports? Each major**

**Point should get at least a sentence e in the summary.**

**Who should retain copies of investigative reports? Only organizations attny of record**

WHEN UNDERCOVER OPERATIONS ARE NOT APPROPRIATE

* To investigate any activity permitted or protected by any governmental statute, rule, or regulation.
* To replace some other form of investigation or fact-finding that will likely produce the same results while consuming less time and resources.
* In the United States, Canada and other countries the Federal law prohibits surveillance or investigation of union activities. It is recommended for organizations operating internationally to seek legal counsel prior to commencing any undercover operations in the workplace.

The use of undercover should not automatically be ruled out simply because a workforce is unionized.

**Which of the following describes when an undercover investigation may be appropriate?**

**To investigate losses in a specific sector of the company as in the case of failing to record cash**

**accepted from customers.**

**When should undercover investigations be conducted? When there is a clear case for ROI and no**

**viable investigative alternative**

**What is the biggest potential problem in undercover investigations? That the investigation will be**

**exposed**

When an investigator is questioning an employee suspected of stealing funds from the organization, this is BEST defined as an \_\_\_\_\_\_\_\_\_\_\_\_. Interrogation

**The primary goal of an interview is to obtain which of the following? Truthful information**

**Which statement about the interviewer’s approach is most accurate? A positive approach conveys**

**professionalism and confidence in a successful interview**

**What is the “floating point” strategy of interviewing? Continuously reevaluating a hypothesis as**

**information is obtained**

**What is the most critical action an investigator can take to prepare for an interview? Learn the case**

**information inside and out**

**Which of the following statements BEST describes how an investigative interviewer should conduct an interview? Establish a favorable rapport with the interviewee**

**Which statement BEST describes “non-verbal” responses?  Body movements and position changes,**

**gestures, facial expressions and eye contact**

**Which of the following statements concerning interviewing and deception is TRUE?**

**Some behavioral characteristics that suggest deception may also be displayed y a truthful subject**

**In a behavioral analysis interview, “Is there anyone you can think of who would never conceivably have  stolen the laptops?” represents what type of question?** **Vouch question**

**What is the first of the 9 steps in a Reid confrontational interview Confronting the S/**

**When is coercion acceptable in an interview? Never**

**A description of Reid Technique is in the CPP Investigations Notes**